

# FATCA SELF-CERTIFICATION FORM



The Foreign Account Tax Compliance Act (FATCA) requires Praemium to report on US citizens and US tax residents via the Australian Tax Office (ATO). Please complete the below details to facilitate these requirements.

SMA Account Number

Account Type:

Individual/Joint account → go to Part A

Trust → go to Part B

Company/Partnership → go to Part C

SMA Account Name

## Part A: Individual/Joint Account

Are you a US citizen for tax purposes?

YES

NO

If YES, please provide the below details for each account holder:

Name 1

Name 2

Address

Address

U.S. Taxpayer Identification Number (TIN)

U.S. Taxpayer Identification Number (TIN)

## Part B: Trust

Is the Trust organised or incorporated in the U.S.?

YES

NO

If YES, please provide the Trust's US Taxpayer Identification Number (TIN):

Is the Trust a Financial Institution (a custodial or depository institution, an investment entity for FATCA purposes)?

YES

NO

If YES, please provide the Trust's Global Intermediary Identification Number (GIIN):

If the Trust does not have a GIIN, please provide its FATCA status:

Are any of the individuals who exercise control over the Trust (eg. trustee, beneficiary, settler) a US citizen or resident for tax purposes?

YES

NO

If YES, please provide the below details for each individual:

**Name 1**

**Name 2**

Address

Address

U.S. Taxpayer Identification Number (TIN)

U.S. Taxpayer Identification Number (TIN)

**Name 3**

**Name 4**

Address

Address

U.S. Taxpayer Identification Number (TIN)

U.S. Taxpayer Identification Number (TIN)

## Part C: Company/Partnership

Is the Company organised or incorporated in the US? YES NO

If YES, please provide the Company's U.S. Taxpayer Identification Number (TIN):

Is the Company a Financial Institution (a custodial or depository institution, an investment entity for FATCA purposes)? YES NO

If YES, please provide the Company's Global Intermediary Identification Number (GIIN):

If the Company does not have a GIIN, please provide its FATCA status:

Are any of the individuals who exercise control over the Company (eg. CEO, director, beneficial owner) a US citizen or resident for tax purposes? YES NO

If YES, please provide the below details for each individual:

### Name 1

Address

U.S. Taxpayer Identification Number (TIN)

### Name 2

Address

U.S. Taxpayer Identification Number (TIN)

### Name 3

Address

U.S. Taxpayer Identification Number (TIN)

### Name 4

Address

U.S. Taxpayer Identification Number (TIN)

## Declaration and signature

By signing this Self Certification Form, you declare and agree:

- that the information provided by me/us is correct;
- that the information provided by me/us may be disclosed by Praemium to the Australian Taxation Office or any other government bodies, including bodies located overseas, to enable it to be reported and used in compliance with any FATCA Regulations; and
- I/we will promptly notify Praemium with any changes to the information provided by me/us and provide any further information reasonably required by Praemium to comply with any obligation under the FATCA Regulations.

'FATCA Regulations' means all laws, rules, regulation and other legal requirement(s) in force from time to time in Australia in relation to the United States' Foreign Account Tax Compliance Act (FATCA)

Signature

Date

Signature

Date

Name

Name

Title

Title